LIE SUPERAUTOMORPHISMS ON ASSOCIATIVE ALGEBRAS

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Abstract. The results on Lie homomorphisms of associative algebras are extended to certain associative superalgebras. It is shown that under appropriate conditions a Lie superautomorphism of $A = A_0 \oplus A_1$ is a sum of a superautomorphism or the negative of a superantiautomorphism and a central map. In particular we consider the situation when $A$ is a central simple algebra and its $\mathbb{Z}_2$-grading is induced by an idempotent.

1. Introduction

Let $A = A_0 \oplus A_1$ be an associative superalgebra. Then $A$ becomes a Lie superalgebra if we replace the associative product by the superbracket $[a, b]_s$. There has been a considerable interest in the relationship between the associative and the Lie structure of $A$, see for example [3, 4, 7, 10, 11, 13, 15, 16, 18]. However, to the best of our knowledge the natural problem to find the connections of homomorphisms with respect to these two structures has not been yet considered in the literature. The purpose of this paper is to initiate this topic.

In the classical ungraded case, the results on Lie homomorphisms in associative rings and algebras were also obtained with some “delay” comparing to other Lie structure results. The latter were obtained already in the 1950’s and 1960’s by Herstein and some of his students; see [12]. Martindale [14] has solved various Lie map problems somewhat later (see e.g. [14]), however, under the assumption that rings in question contain nontrivial idempotents. The first result avoiding idempotents was obtained in 1993 by the second author [8]. The methods from [8] and related papers have been later generalized in various directions and eventually this resulted in the creation of the theory of functional identities; see [9]. Among different applications of functional identities, solutions of several Lie map problems are particularly notable. Functional identities will be used, indirectly but essentially, also in this paper.

We shall say that a bijective linear map $\varphi : A \to A$ is a Lie superautomorphism of $A$ if $\varphi(A_i) = A_i$, $i = 0, 1$, and $\varphi([a, b]_s) = [\varphi(a), \varphi(b)]_s$ for all $a, b \in A_0 \cup A_1$. We will show that under favorable conditions $\varphi$ can be expressed through superautomorphisms or superantiautomorphisms and central maps. The main result (Theorem 3.1) describes some abstract conditions, which are then applied to the case when $A$ is a central simple algebra and the $\mathbb{Z}_2$-grading is induced by an idempotent (Corollary 3.2). The main idea of the proof is to introduce a usual Lie automorphisms of the Grassmann envelope of $A$, and then apply the theory from [9]. Here we were influenced by our recent works [1, 2] where we noticed that some results from [9] are

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applicable to tensor products of “nice” algebras with “almost arbitrary” algebras. This has encouraged us to consider Lie automorphisms of the Grassmann envelope.

We remark that an analogous concept of a Jordan superhomomorphism was treated in [6], but using a more straightforward and elementary approach.

We do not try to push the results in their utmost generality in this short paper. Our main goal is to present the method which, as we hope, could be extended to more general contexts. We plan to continue the investigation of Lie superhomomorphisms in a more technical work in the future.

After preparing a draft of this paper, we received a preprint of Wang [17] in which Lie superhomomorphisms are also considered. While there is some overlap between his and our paper, there are also essential differences. Wang does not reduce the problem to usual Lie maps in associative algebras (as we do using the Grassmann envelope), but studies functional identities directly in associative superalgebras. Also, he imposes the conditions on the odd part $A_1$, while our restrictions concern the even part $A_0$.

2. Preliminaries

By an algebra we shall always mean an algebra over a fixed field with char($F$) $\neq 2$. Mostly we will consider associative algebras, but not exclusively. So the term “algebra” can mean a nonassociative algebra. For convenience we assume that all our associative algebras have an identity element.

2.1. Superalgebras. Recall that a superalgebra is a $\mathbb{Z}_2$-graded algebra $A = A_0 \oplus A_1$, $A_iA_j \subseteq A_{i+j}$ where $i,j \in \mathbb{Z}_2$. Elements from $A_i$ are said to be homogeneous of degree $i$, $i = 0, 1$. For $x \in A_i$ we shall write $|x| = i$.

An important example of a superalgebra is the Grassman superalgebra $G$. As an algebra $G$ is just an associative algebra generated by elements $1, e_1, e_2, \ldots$ that satisfy $e_i^2 = e_i e_j + e_j e_i = 0$ for all $i, j$; as a superalgebra it is determined by the following rule: $1 \in G_0$, $e_1 e_2 \ldots e_k \in G_0$ if $k$ is even and $e_1 e_2 \ldots e_k \in G_1$ if $k$ is odd. Now let $A = A_0 \oplus A_1$ be an arbitrary superalgebra. The algebra $G(A) = G_0 \otimes A_0 + G_1 \otimes A_1$, which we view as a subalgebra of the tensor product $A \otimes G$, is called the Grassman envelope of $A$. If $G(A)$ is a Lie algebra, then we say that $A$ is a Lie superalgebra. Similar definitions make sense for other varieties of algebras. In particular, if $G(A)$ is an associative algebra, then we say that $A$ is an associative superalgebra. But actually it is easy to see that an associative superalgebra is nothing but a $\mathbb{Z}_2$-graded associative algebra. On the other hand, a Lie superalgebra is not a Lie algebra if its grading is nontrivial. Lie superalgebras can be equivalently defined through the super-anticommutativity of the product and the super-Jacobi identity. But we shall not need them in this paper.

Let $A = A_0 \oplus A_1$ be an associative superalgebra. The superbracket of two homogeneous elements $a, b \in A$ is defined as $[a, b]_s = ab - (-1)^{|a||b|}ba$. We extend $[\ldots]_s$ by bilinearity to $A \times A$. Then $A$, endowed with the superbracket together with the original grading and the original vector space structure, becomes a Lie superalgebra. The supercenter of $A$ is defined as the set of all $a \in A$ such that $[a, A]_s = 0$. Note that a Lie superautomorphism $\varphi : A \to A$ satisfies $\varphi(a_0, b) = [\varphi(a_0), \varphi(b)]$ for all $a_0 \in A_0, b \in A$, and $\varphi(a_1 \circ b_1) = \varphi(a_1) \circ \varphi(b_1)$ for all $a_1, b_1 \in A_1$. Here of course, $[u, v] = uv - vu$ and $u \circ v = uv + vu$. 
Let $A$ be an associative algebra and let $e$ be an idempotent in $A$. Note that by setting

$$A_0 = eAe + (1-e)A(1-e) \quad \text{and} \quad A_1 = eA(1-e) + (1-e)Ae,$$

$A$ becomes an associative superalgebra. This is the basic example of a superalgebra structure on an associative algebra, and often this is in fact the only possible example. Indeed, let $A = A_0 \oplus A_1$ be an arbitrary associative superalgebra. Then $\sigma(a_0 + a_1) = a_0 - a_1$ defines an automorphism of $A$ such that $\sigma^2 = \text{id}$. If $\sigma$ is inner, then there exists an invertible $u \in A$ such that $u^2$ lies in the center of $A$ and $A_0 = \{x \in A \mid [u, x] = 0\}$, $A_1 = \{x \in A \mid u \circ x = 0\}$. Assume further that $u^2$ can be written as a square of some central element, $u^2 = c^2$. Then we may replace $u$ by $c^{-1}u$ and therefore assume without loss of generality that $u^2 = 1$. Hence $e = \frac{1}{2}(1-u)$ is an idempotent, and one can easily show that $A_0$ and $A_1$ can be described through (1). Thus, for instance, if an associative algebra $A$ is such that it has only inner automorphisms, its center is just $F$, and $F$ is an algebraically closed field, then every superalgebra structure of $A$ arises from an idempotent.

The prototype example of (1) is $M(p \mid q)$, the algebra of square matrices of order $p + q$ equipped with the following $\mathbb{Z}_2$-grading: $M(p \mid q)_0$ consists of matrices of the form

$$\begin{bmatrix} A & 0 \\ 0 & D \end{bmatrix}, \ A \in M_p(F), \ D \in M_q(F), \ \text{and} \ M(p \mid q)_1 \ \text{consists of matrices of the form} \begin{bmatrix} 0 & B \\ C & 0 \end{bmatrix}, \ B \in M_{p,q}(F), \ C \in M_{q,p}(F).$$

2.2. The strong degree. The concept of the strong degree was introduced in [5], and is also exposed in [9, Chapter 2]. We will now give a very brief survey which is sufficient for our purposes.

Let $A$ be an associative algebra. By $M(A)$ we denote the multiplication algebra of $A$, that is, the algebra of linear operators on $A$ generated by all left and all right multiplications $L_a$ and $R_b$, $a, b \in A$. Thus a typical element in $M(A)$ is an operator on $A$ of the form $x \mapsto \sum_{i=1}^n a_i x b_i$, $a_i, b_i \in A$.

Let $t \in A$ be a nonzero element, and let $n \geq 0$ be an integer. We say that the strong degree of $t$ is greater than $n$, $s\text{-deg}(t) > n$, if for every $0 \leq i \leq n$ there exists $E_i \in M(A)$ such that $E_i(t^j) = \delta_{ij}$ for each $j = 0, 1, \ldots, n$ (here $\delta_{ij}$ is the “Kronecker delta”, and $t^0 = 1$). Clearly, in this case $1, t, \ldots, t^n$ are linearly independent. If $s\text{-deg}(t) > n - 1$ but $s\text{-deg}(t) \neq n$, then we say that the strong degree of $t$ is $n$ ($s\text{-deg}(t) = n$). If $s\text{-deg}(t) > n$ for every positive integer $n$, then $s\text{-deg}(t) = \infty$. Finally, the strong degree of $A$ is $s\text{-deg}(A) = \sup\{s\text{-deg}(t) \mid t \in A\}$. Trivially, $s\text{-deg}(A) \geq 1$ for every algebra $A$.

Let us record three simple lemmas. The first two can be very easily checked and we omit the proofs.

**Lemma 2.1.** If $A'$ is a subalgebra of $A$ such that $A'$ contains the identity element of $A$, then $s\text{-deg}(A) \geq s\text{-deg}(A')$.

**Lemma 2.2.** If $A_1$ and $A_2$ are algebras, then

$$s\text{-deg}(A_1 \oplus A_2) = \min\{s\text{-deg}(A_1), s\text{-deg}(A_2)\}.$$

**Lemma 2.3.** If $A_1$ and $A_2$ are algebras, then

$$s\text{-deg}(A_1 \otimes A_2) \geq \max\{s\text{-deg}(A_1), s\text{-deg}(A_2)\}.$$
Lemma 2.4. If $A$ is a central simple algebra, then $s\text{-deg}(A) = \sqrt{\dim A}$.

By a central simple algebra we mean a simple algebra such that its center coincides with $F$. The next lemma follows from [9, Lemma 2.3 and Corollary C.3].

Lemma 2.4. If $A$ is a central simple algebra, then $s\text{-deg}(A) = \sqrt{\dim A}$.

Let us point out that the case when $A$ is infinite dimensional is not excluded here; in this case we have $s\text{-deg}(A) = \infty$.

For other examples of algebras whose strong degree can be computed we refer the reader to [5] and [9].

2.3. Lie (super)automorphisms. Let $B$ be an associative algebra. Recall that a bijective linear map $\Phi : B \to B$ is said to be a Lie automorphism if $\Phi([a,b]) = [\Phi(a),\Phi(b)]$ for any $a,b \in B$. Clearly, the restriction of a Lie superautomorphism of $A = A_0 \oplus A_1$ on $A_0$ is a Lie automorphism of $A_0$. The next result is an immediate corollary to [9, Theorem 2.19 and Theorem 6.1]. Its proof is a typical application of the general theory of functional identities.

Theorem 2.5. Let $B$ be an associative algebra such that $s\text{-deg}(B) \geq 3$. Assume that the center $Z$ of $B$ does not contain idempotents different from 0 and 1. If $\Phi$ is a Lie automorphism of $B$, then $\Phi = \Theta + \Omega$, where $\Theta$ is either a homomorphism of $B$ or the negative of an antihomomorphism of $B$, and $\Omega$ is a map from $B$ into $Z$ which vanishes on commutators.

Note that this is the optimal description of a Lie automorphism through associative maps. Namely, a map of the form $\Theta + \Omega$, where $\Theta$ and $\Omega$ are as in the theorem, preserves the Lie bracket $[\ldots, \ldots]$. It is easy to guess what are the counterparts of these maps in the superalgebra setting. Let $A = A_0 \oplus A_1$ be an associative superalgebra. A linear map $\theta : A \to A$ is called a superhomomorphism if it is a homomorphism of the algebra $A$ (i.e., it satisfies $\theta(ab) = \theta(a)\theta(b)$) and if it preserves the $\mathbb{Z}_2$-grading (i.e., $\theta(A_i) \subseteq A_i$, $i = 0,1$). Of course, superhomomorphisms also preserve the superbracket $[\ldots, \ldots]$. Next, a linear $\mathbb{Z}_2$-grading preserving map $\theta : A \to A$ is called a superantihomomorphism if $\theta(ab) = (-1)^{|a||b|}\theta(b)\theta(a)$ for all homogeneous elements $a,b \in A$. Note that the negative of a superantihomomorphism preserves the superbracket. Finally, if $\theta$ is either a superhomomorphism or a superantihomomorphism and $\tau$ is a map from $A$ into its center such that $\tau([A_0,A_0]) = \tau(A_1 \circ A_1) = \tau(A_1) = 0$, then $\theta + \tau$ also preserves the superbracket (here by the center we mean the usual center, not supercenter). Moreover, if the range of $\tau$ lies in $A_0$, then $\theta + \tau$ also preserves the $\mathbb{Z}_2$-grading.

3. Main results

Let us first reveal the main idea on which this paper is based. Let $A = A_0 \oplus A_1$ be an associative superalgebra, and let $\varphi : A \to A$ be a Lie superautomorphism. We “extend” $\varphi$ to $\Phi : G(A) \to G(A)$ in an obvious way, i.e. as the restriction of $\varphi \otimes \text{id}$ to $G(A)$. Thus

$$\Phi(a_i \otimes g_i) = \phi(a_i) \otimes g_i, \quad a_i \in A_i, \; g_i \in G_i, \; i = 0,1.$$
One easily checks that $\Phi$ is a Lie automorphism of $G(A)$. For example, if $a_1, b_1 \in A_1$ and $g_1, g'_1 \in G_1$, then $g_1 g'_1 + g'_1 g_1 = 0$ and hence

$$\Phi([a_1 \otimes g_1, b_1 \otimes g'_1]) = \Phi(a_1 \circ b_1 \otimes g_1 g'_1) = \varphi(a_1 \circ b_1) \otimes g_1 g'_1$$

$$= (\varphi(a_1) \circ \varphi(b_1)) \otimes g_1 g'_1 = [\varphi(a_1) \otimes g_1, \varphi(b_1) \otimes g'_1] = [\Phi(a_1 \otimes g_1), \Phi(b_1 \otimes g'_1)].$$

Similarly one considers the action of $\Phi$ on other commutators.

Now assume that the algebra $B = G(A)$ satisfies the conditions of Theorem 2.5. Then $\Phi = \Theta + \Omega$ where $\Theta$ and $\Omega$ are as in this theorem. We now have to use this information to describe $\varphi$. This is the idea of our proof.

Let us simplify our task by assuming slightly more than required in Theorem 2.5. The assumptions that we impose are:

(a) $s\text{-deg}(A_0) \geq 3$.

(b) the supercenter of $A$ is equal to $F$.

Note that (a) yields that $s\text{-deg}(A_0 \otimes G_0) \geq 3$ (by Lemma 2.3) and therefore $s\text{-deg}(G(A)) \geq 3$ (by Lemma 2.1). Further, it is easy to see that (b) implies that the center $Z$ of $G(A)$ is equal to $1 \otimes G_0$. Since $G_0$ does not contain nontrivial idempotents, the same holds for $Z$. Therefore (a) and (b) indeed imply all assumptions of Theorem 2.5. We thus have $\Phi = \Theta + \Omega$. Note also that we can write $\Omega(r) = 1 \otimes \omega(r), r \in G(A)$, where $\omega : G(A) \to G_0$. Finally we remark that (b) implies that elements from $F$ are the only elements that lie in both $A_0$ and the center of the algebra $A$.

We now have to treat two cases, the one that $\Theta$ is a homomorphism and the one that $\Theta$ is the negative of an antihomomorphism. Let us consider in detail the second (and apparently the less favorable) one.

We begin by considering $\varphi(a_0 b_0) \otimes 1$ with $a_0, b_0 \in A_0$. We have

$$\varphi(a_0 b_0) \otimes 1 = \Phi(a_0 b_0 \otimes 1)$$

$$= \Theta((a_0 \otimes 1)(b_0 \otimes 1)) + 1 \otimes \omega(a_0 b_0 \otimes 1)$$

$$= -\Theta(b_0 \otimes 1)\Theta(a_0 \otimes 1) + 1 \otimes \omega(a_0 b_0 \otimes 1)$$

$$= -\varphi(b_0)(\varphi(a_0) \otimes 1 - 1 \otimes \omega(b_0 \otimes 1))(\varphi(a_0) \otimes 1 - 1 \otimes \omega(a_0 \otimes 1)) + 1 \otimes \omega(a_0 b_0 \otimes 1)$$

$$= -\varphi(b_0)\varphi(a_0) \otimes 1 + \varphi(b_0) \otimes \omega(a_0 \otimes 1) + \varphi(a_0) \otimes \omega(b_0 \otimes 1)$$

$$+ 1 \otimes (\omega(a_0 b_0 \otimes 1) - \omega(b_0 \otimes 1)\omega(a_0 \otimes 1)).$$

Thus,

$$\varphi(a_0 b_0) + \varphi(b_0)\varphi(a_0) \otimes 1 = \varphi(b_0) \otimes \omega(a_0 \otimes 1)$$

$$+ \varphi(a_0) \otimes \omega(b_0 \otimes 1) + 1 \otimes (\omega(a_0 b_0 \otimes 1) - \omega(b_0 \otimes 1)\omega(a_0 \otimes 1)).$$

For every $a_0 \in A_0$ we write $\omega(a_0 \otimes 1) = \tau(a_0) + \epsilon(a_0)$ where $\tau(a_0) \in F$ and $\epsilon(a_0)$ lies in the linear span of the products of $e_i$'s. Suppose there exists $a_0 \in A_0$ such that $\epsilon(a_0) \neq 0$. Then it follows readily from (2) that every $\varphi(b_0)$ lies in the linear span of $\varphi(a_0)$ and 1. But this is impossible since (a) in particular implies that $A_0$ contains elements that are not algebras of degree $\leq 2$. Consequently $\epsilon(a_0) = 0$ for every $a_0 \in A_0$, and so $\omega(a_0 \otimes 1) = \tau(a_0) \in F$. Therefore (2) reduces to

$$\varphi(a_0 b_0) + \varphi(b_0)\varphi(a_0) = \tau(a_0)\varphi(b_0) + \tau(b_0)\varphi(a_0) + \tau(a_0 b_0) - \tau(b_0)\tau(a_0).$$

We now define $\theta : A_0 \to A_0$ by

$$\theta(a_0) = \varphi(a_0) - \tau(a_0),$$
so that $\Theta(a_0 \otimes 1) = \theta(a_0) \otimes 1$. Note that (3) can now be written as

\begin{equation}
\theta(a_0 b_0) = -\theta(b_0)\theta(a_0).
\end{equation}

In a similar fashion we consider $\varphi(a_0 b_0) \otimes e_1 e_2$ with $a_0, b_0 \in A_0$:

\begin{align*}
\varphi(a_0 b_0) \otimes e_1 e_2 &= \Phi(a_0 b_0 \otimes e_1 e_2) \\
= &\Theta((a_0 \otimes 1)(b_0 \otimes e_1 e_2)) + 1 \otimes \omega(a_0 b_0 \otimes e_1 e_2) \\
= &- \Theta(b_0 \otimes e_1 e_2)\Theta(a_0 \otimes 1) + 1 \otimes \omega(a_0 b_0 \otimes e_1 e_2) \\
= &- (\varphi(b_0) \otimes e_1 e_2 - 1 \otimes \omega(b_0 \otimes e_1 e_2))(\theta(a_0) \otimes 1) + 1 \otimes \omega(a_0 b_0 \otimes e_1 e_2) \\
= &- \varphi(b_0)\theta(a_0) \otimes e_1 e_2 + \theta(a_0) \otimes \omega(b_0 \otimes e_1 e_2) + 1 \otimes \omega(a_0 b_0 \otimes e_1 e_2).
\end{align*}

Thus

\begin{equation}
(\varphi(a_0 b_0) + \varphi(b_0)\theta(a_0)) \otimes e_1 e_2 = \theta(a_0) \otimes \omega(b_0 \otimes e_1 e_2) + 1 \otimes \omega(a_0 b_0 \otimes e_1 e_2).
\end{equation}

Using (4) we see that $\varphi(a_0 b_0) + \varphi(b_0)\theta(a_0) = \tau(b_0)\theta(a_0) + \tau(a_0 b_0)$, and so we get

$\theta(a_0) \otimes (\omega(b_0 \otimes e_1 e_2) - \tau(b_0) e_1 e_2) + 1 \otimes (\omega(a_0 b_0 \otimes e_1 e_2) - \tau(a_0 b_0) e_1 e_2) = 0$.

Choosing $a_0$ so that $\theta(a_0) \notin F$ (its existence is a trivial consequence of (a)) it follows that for every $b_0 \in A_0$ we have

\begin{equation}
\omega(b_0 \otimes e_1 e_2) = \tau(b_0) e_1 e_2
\end{equation}

Next we consider $\varphi(a_0 b_1) \otimes e_1$ with $a_0 \in A_0, b_1 \in A_1$. We have

\begin{align*}
\varphi(a_0 b_1) \otimes e_1 &= \Phi(a_0 b_1 \otimes e_1) \\
= &\Theta((a_0 \otimes 1)(b_1 \otimes e_1)) + 1 \otimes \omega(a_0 b_1 \otimes e_1) \\
= &- \Theta(b_1 \otimes e_1)\Theta(a_0 \otimes 1) + 1 \otimes \omega(a_0 b_1 \otimes e_1) \\
= &- (\varphi(b_1) \otimes e_1 - 1 \otimes \omega(b_1 \otimes e_1))(\theta(a_0) \otimes 1) + 1 \otimes \omega(a_0 b_1 \otimes e_1) \\
= &- \varphi(b_1)\theta(a_0) \otimes e_1 + \theta(a_0) \otimes \omega(b_1 \otimes e_1) + 1 \otimes \omega(a_0 b_1 \otimes e_1),
\end{align*}

and hence

\begin{equation}
(\varphi(a_0 b_1) + \varphi(b_1)\theta(a_0)) \otimes e_1 = \theta(a_0) \otimes \omega(b_1 \otimes e_1) + 1 \otimes \omega(a_0 b_1 \otimes e_1).
\end{equation}

Since $\omega(b_1 \otimes e_1), \omega(a_0 b_1 \otimes e_1) \in G_0$ it follows that $\varphi(a_0 b_1) + \varphi(b_1)\theta(a_0) = 0$. Consequently, choosing $a_0$ so that $\theta(a_0) \notin F$ we obtain $\omega(b_1 \otimes e_1) = 0$ for every $b_1 \in A_1$.

We now extend $\theta$ to $A$ by setting

$\theta(a_1) = \varphi(a_1)$

for every $a_1 \in A_1$. Note that we have

\begin{equation}
\theta(a_0 b_1) = -\theta(b_1)\theta(a_0)
\end{equation}

and $\Theta(b_1 \otimes e_1) = \theta(b_1) \otimes e_1$. Of course, similarly we have $\Theta(b_1 \otimes e_i) = \theta(b_1) \otimes e_i$ for every $i$.

Considering $\theta(b_1 a_0) \otimes e_1 = \varphi(b_1 a_0) \otimes e_1$ in a similar (although now more straightforward) way as we considered $\varphi(a_0 b_1) \otimes e_1$, one obtains

\begin{equation}
\theta(b_1 a_0) = -\theta(a_0)\theta(b_1)
\end{equation}

for all $a_0 \in A_0, b_1 \in A_1$. 

Finally we consider \( \varphi(a_1b_1) \otimes e_1e_2 \) with \( a_1, b_1 \in A_1 \). Using (5) we obtain

\[
\varphi(a_1b_1) \otimes e_1e_2 = \Phi(a_1b_1 \otimes e_1e_2) = \Theta((a_1 \otimes e_1)(b_1 \otimes e_2)) + 1 \otimes \omega(a_1b_1 \otimes e_1e_2)
\]

\[
= - \Theta(b_1 \otimes e_2)(\theta(1) + 1 \otimes \tau(a_1b_1)e_1e_2)
\]

\[
= - (\theta(b_1) \otimes e_2)(\theta(a_1) + 1 \otimes \tau(a_1b_1)e_1e_2)
\]

\[
= (\theta(b_1)\theta(a_1) + \tau(a_1b_1)) \otimes e_1e_2.
\]

Therefore \( \varphi(a_1b_1) = \theta(b_1)\theta(a_1) + \tau(a_1b_1) \), which yields

(8) \[
\theta(a_1b_1) = \theta(b_1)\theta(a_1).
\]

From (4), (6), (7) and (8) we now see that \( \theta \) is the negative of a superantihomomorphism.

Extending \( \tau \) to \( A \) by simply setting \( \tau(A_1) = 0 \) we thus have

\[
\varphi(a) = \theta(a) + \tau(a)
\]

for every \( a \in A \). Let us finally make use of the condition that \( \Omega \) vanishes on commutators (see Theorem 2.5). Considering commutators in \( [A_0, A_0] \) we obtain \( \tau([A_0, A_0]) = 0 \). Similarly, considering commutators in \( [A_1 \otimes e_1, A_1 \otimes e_2] \) and also applying (5) we get \( \tau(A_1 \circ A_1) = 0 \).

As \( \theta \) is the negative of a superantihomomorphism, we have \( \theta(1)\theta(a) = \theta(a)\theta(1) = -\theta(a) \) for every \( a \in A \). In particular, \( \theta(1) \) thus commutes with all elements from \( \varphi(A) = A \), which implies that \( \theta(1) \in F \) (see the paragraph following (b)). But then \( \theta(1) = -1 \).

Suppose that \( a = a_0 + a_1 \) is such that \( \theta(a) = 0 \). Then \( \varphi(a_0) + \tau(a_0) = 0 \) and \( \varphi(a_1) = 0 \). The second identity yields \( a_1 = 0 \). The first identity implies that \( \varphi([a_0, A]) = [\varphi(a_0), \varphi(A)] = -[\tau(a_0), A] = 0 \). Thus \( [a_0, A] = 0 \) and so \( a = a_0 \in F \).

Suppose that \( a = a_0 + a_1 \) is such that \( \theta(a) = 0 \). Then \( \varphi(a_0) + \tau(a_0) = 0 \) and \( \varphi(a_1) = 0 \). The second identity yields \( a_1 = 0 \). The first identity implies that \( \varphi([a_0, A]) = [\varphi(a_0), \varphi(A)] = -[\tau(a_0), A] = 0 \). Thus \( [a_0, A] = 0 \) and so \( a = a_0 \in F \).

Recall that we have derived all these conclusions under the assumption that \( \Theta \) is the negative of an antihomomorphism. It \( \Theta \) was a homomorphism, then following the same procedure we would arrive at analogous conclusions, just that \( \theta \) is then a superautomorphism.

To summarize, we have obtained the desired conclusion \( \varphi = \theta + \tau \) under the assumption that the conditions (a) and (b) are fulfilled. More precisely, the following theorem was proved.

**Theorem 3.1.** Let \( A = A_0 \oplus A_1 \) be an associative superalgebra such that its supercenter is \( F \) and \( s\text{-}\text{deg}(A_0) \geq 3 \). Then every Lie superautomorphism \( \varphi \) of \( A \) is of the form \( \varphi = \theta + \tau \) where \( \theta \) is either a superautomorphism of \( A \) or the negative of a superantiautomorphism of \( A \), and \( \tau \) is a map from \( A \) into \( F \) satisfying \( \tau([A_0, A_0]) = \tau(A_1 \circ A_1) = \tau(A_1) = 0 \).

In our final result we apply Theorem 3.1 to a more concrete situation.

**Corollary 3.2.** Let \( A \) be a central simple associative algebra. Let \( e \) be an idempotent in \( A \) and consider \( A \) as an associative superalgebra with respect to (1). If \( \dim eAe > 4 \) and \( \dim(1-e)A(1-e) > 4 \), then every Lie superautomorphism \( \varphi \) of \( A \) is of the form \( \varphi = \theta + \tau \) where \( \theta \) is either a superautomorphism of \( A \) or the
negative of a superantiautomorphism of $A$, and $\tau$ is a map from $A$ into $F$ satisfying $\tau([A_0, A_0]) = \tau(A_1 \circ A_1) = \tau(A_1) = 0$.

Proof. Both algebras $eAe$ and $(1-e)A(1-e)$ are also central simple. The simplicity can be easily checked. Let us show that they are central. This is undoubtedly known, but let us give a short proof for completeness. We want to show that the center of $eAe$ is equal to $Fe$. Let $eae$ be a nonzero element from the center of $eAe$. In view of the simplicity of $A$ there exists $x, y \in A$ such that $\sum x, ey, xe = 1$. For every $x \in A$ we thus have $xe = \sum x, ey, xe$. Since $eae$ commutes with $eyxe$ this implies $xe = \sum x, ey, xe$. Thus $xe = bxeae$ for every $x \in A$ where $b = \sum x, ey, x$. Accordingly, $ybxae = yxe = byxeae$ for all $x, y \in A$. That is, $[b, A]Aeae = 0$. Since $A$ is simple it follows that $[b, A] = 0$, and hence, since $A$ is central, we have $b = \lambda \in F$. Returning to $xe = bxeae$ it now follows $eae = \lambda e$, as desired.

Lemma 2.4 implies that the strong degree of both $eAe$ and $(1-e)A(1-e)$ is $\geq 3$. But then the strong degree of $A_0$ is also $\geq 3$ by Lemma 2.2.

Using the fact that the center of $eAe$ is $Fe$ one can easily show that the super-center of $A$ is just $F$. All conditions of Theorem 3.1 are thus fulfilled and the result follows.

For example, Corollary 3.2 is applicable to the algebra $M(p | q)$ as long as $p > 2$ and $q > 2$. It is easy to see that in this situation the identities that $\tau$ satisfies imply that $\tau$ is necessarily a scalar multiple of the supertrace, i.e. the map given by $\begin{bmatrix} A & B \\ C & D \end{bmatrix} \mapsto tr(A) - tr(D)$, where $tr$ denotes the trace.

Corollary 3.2 shows both the power and the limitations of our approach based on the strong degree and functional identities. While it covers a rather large class of associative superalgebras (which are possibly infinite dimensional), it fails in some specific situations related to low dimensional algebras.

References


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