

# Mergelyan's and Arakelian's theorems for manifold-valued maps

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# Abstract

I will present some recent developments in holomorphic approximation theory of **Mergelyan, Arakelian, and Carleman type** for manifold-valued maps. They are treated in the following papers:

**F. Forstnerič:** Mergelyan's and Arakelian's theorems for manifold-valued maps. Moscow Math. J. 19:3 (2019) 465–484.

<https://arxiv.org/abs/1801.04773>

**B. Chenoweth:** Carleman Approximation of Maps into Oka Manifolds.

Proc. Amer. Math. Soc., <https://arxiv.org/abs/1804.10680>

A more comprehensive discussion of these topics is available in the survey

**J.-E. Fornæss, F. Forstnerič, E.F. Wold:**

Holomorphic approximation: the legacy of Weierstrass, Runge, Oka-Weil, and Mergelyan. To appear in the volume "Advancements in Complex Analysis" by Springer-Verlag. <https://arxiv.org/abs/1802.03924>

# Classical approximation theorems on $\mathbb{C}$

**C. Runge 1885** If  $K$  is a compact set with connected complement in  $\mathbb{C}$  then every  $f \in \mathcal{O}(K)$  is a uniform limit of holomorphic polynomials.

**S. N. Mergelyan 1951** If  $K \subset \mathbb{C}$  is as in Runge's theorem then every function in  $\mathcal{A}(K) = \mathcal{C}(K) \cap \mathcal{O}(\overset{\circ}{K})$  is a uniform limit of polynomials.

In view of Runge's theorem, Mergelyan's theorem is equivalent to

The Mergelyan property (MP):  $\mathcal{A}(K) = \overline{\mathcal{O}}(K) = \mathcal{R}(K)$ .

**A. Vitushkin 1966** Characterization of MP for general compacts in terms of continuous capacity. MP is also called the **Vitushkin property**.

**N. U. Arakelian 1964–1971** The following conditions are equivalent for a **closed** (not necessarily compact) set  $E$  in a domain  $X \subset \mathbb{C}$ :

- (a) Every function in  $\mathcal{A}(E)$  is a uniform limit of functions in  $\mathcal{O}(X)$ .
- (b) **Arakelian's condition:** The complement  $X^* \setminus E$  of  $E$  in the one point compactification  $X^* = X \cup \{*\}$  of  $X$  is connected and locally connected at  $*$ .

# Approximation on more general domains

**K. Oka 1936, A. Weil 1935** Runge's theorem holds on any compact  $\mathcal{O}(X)$ -convex set  $K$  in a Stein manifold  $X$ . Here,

$$\widehat{K} = \{p \in \mathbb{C}^n : |f(p)| \leq \max_K |f| \quad \forall f \in \mathcal{O}(X)\},$$

and  $K$  is  $\mathcal{O}(X)$ -convex iff  $K = \widehat{K}$ . Modern proof uses Hörmander's  $L^2$ -technique for solving the  $\bar{\partial}$ -equation with weights.

**H. Behnke and K. Stein 1949** Runge's theorem holds for any compact set  $K$  without holes in an open Riemann surface  $X$ .

**E. Bishop 1958 (localization theorem)** Let  $K$  be a compact set in a Riemann surface  $X$ . If every point  $p \in K$  has a compact neighborhood  $D_p \subset X$  such that  $K \cap D_p$  has MP, then  $K$  has MP. In particular, a compact set without holes in an open Riemann surface has MP.

**P. M. Gauthier and W. Hengartner 1975, S. Scheinberg 1978** Arakelian's condition is necessary for uniform approximation of functions on a closed subset  $E$  in an arbitrary connected open Riemann surface  $X$ , but is not sufficient in general. Many sufficient conditions are known.

# Oka Manifolds

## How can one extend Runge's theorem to manifold-valued maps?

The natural source manifolds are **Stein manifolds**, i.e., closed complex submanifolds of Euclidean spaces  $\mathbb{C}^N$  (**K. Stein, 1951**).

One-dimensional Stein manifolds are open Riemann surfaces.

## What are good target manifolds for Runge approximation?

Obviously bad are **Kobayashi hyperbolic manifolds**, the simplest one being  $Y = \mathbb{C} \setminus \{0, 1\}$ . By **Picard's theorem**, every holomorphic map  $\mathbb{C} \rightarrow \mathbb{C} \setminus \{0, 1\}$  is constant. Hence, we cannot approximate nonconstant holomorphic maps  $\mathbb{D} = \{|z| < 1\} \rightarrow \mathbb{C} \setminus \{0, 1\}$  by entire maps.

In 2009, I introduced the following class of manifolds and proved the next theorem, thereby answering a question of **M. Gromov (1989)**:

### Definition

A complex manifold  $Y$  is an **Oka manifold** if for every compact convex set  $K \subset \mathbb{C}^n$  ( $n \in \mathbb{N}$ ) and holomorphic map  $f : U \rightarrow Y$  on a neighbourhood  $U \subset \mathbb{C}^n$  of  $K$ , we can approximate  $f$  uniformly on  $K$  by entire maps  $\mathbb{C}^n \rightarrow Y$ .

# Runge's theorem for maps to Oka manifolds

## Theorem (F., 2005–2009)

*If  $X$  is Stein,  $K \subset X$  is compact  $\mathcal{O}(X)$ -convex,  $Y$  is an Oka manifold, and  $f : X \rightarrow Y$  is a continuous map that is holomorphic on a neighbourhood of  $K$ , then  $f$  can be approximated uniformly on  $K$  by holomorphic maps  $F : X \rightarrow Y$  homotopic to  $X$ . (Many other conditions can be fulfilled: interpolation on a subvariety of  $X$ , parametric case, section of fibre bundles with Oka fibres over a Stein base.)*

Many sufficient conditions are known for a complex manifold to be Oka:

- **Oka & Weil 1935**  $\mathbb{C}^N$  is an Oka manifold.
- **Oka 1939**  $\mathbb{C}^*$  is an Oka manifold.
- **Grauert 1958** Every complex homogeneous manifold is Oka.
- **Gromov 1989** If the tangent bundle of a complex manifold  $Y$  is spanned by  $\mathbb{C}$ -complete holomorphic vector fields, then  $Y$  is Oka.
- **F 2006** If  $E \rightarrow B$  is a fibre bundle with an Oka fibre  $Y$ , then  $E$  is Oka if and only if  $B$  is Oka.
- **Kusakabe 2019** If  $Y$  is Zariski locally Oka, then  $Y$  is Oka.

# Mergelyan's theorem holds universally

## Theorem (Mergelyan's theorem for manifold-valued maps)

Let  $K$  be a compact set with the Mergelyan property in a Riemann surface  $X$ , and let  $Y$  be an arbitrary complex manifold. Then, every map  $f : K \rightarrow Y$  of class  $\mathcal{A}(K, Y)$  can be approximated uniformly on  $K$  by maps in  $\mathcal{O}(K, Y)$  (i.e., holomorphic in open neighbourhoods of  $K$ ).

Sketch of proof:

- By the converse to Bishop's localization theorem, due to **A. Boivin and B. Jiang 2004**,  $K$  has the local Mergelyan property.
- By **Poletsky 2013**, it follows that the graph of  $f$  on  $K$  has a basis of open Stein neighbourhoods  $\Omega \subset X \times Y$ .
- Choose a holomorphic embedding  $\iota : \Omega \hookrightarrow Z \subset \mathbb{C}^N$ . There is a holomorphic retraction  $\rho : V \rightarrow Z$  from a neighbourhood  $V \subset \mathbb{C}^N$ .
- Approximate the map  $\iota \circ (\text{Id}_K, f) : K \rightarrow \mathbb{C}^N$  by a holomorphic map  $F : U \rightarrow V$  from a neighbourhood  $U \subset X$  of  $K$ . The map

$$\tilde{f} = \text{pr}_Y \circ \rho \circ F : U \rightarrow Y$$

then approximates  $f$  on  $K$ .

# Bishop-Mergelyan theorem for maps to Oka manifolds

## Corollary

*If  $K$  is a compact set without holes in an open Riemann surface  $X$  and  $Y$  is an Oka manifold, then any continuous map  $K \rightarrow Y$  which is holomorphic in  $\overset{\circ}{K}$  is approximable by entire holomorphic maps  $X \rightarrow Y$ .*

## Proof.

We have already seen that any map  $f \in \mathcal{A}(K, Y)$  can be approximated by maps  $\tilde{f} \in \mathcal{O}(K, Y)$ . (This holds for any complex manifold  $Y$ .)

If  $Y$  is an Oka manifold, then (since an open Riemann surface  $X$  is a Stein manifold and a set  $K$  without holes in  $X$  is  $\mathcal{O}(X)$ -convex), any map  $\tilde{f} \in \mathcal{O}(K, Y)$  can be approximated uniformly on  $K$  by entire maps  $F \in \mathcal{O}(X, Y)$  according to the previous theorem. □



# Arakelian's theorem for maps to compact homogeneous manifolds

## Theorem (F., Moscow Math. J. 2019)

*Assume that  $Y$  is a compact complex homogeneous manifold.*

*If  $E$  is a closed Arakelian set in a domain  $X \subset \mathbb{C}$ , then every continuous map  $X \rightarrow Y$  which is holomorphic in the interior of  $E$  can be approximated uniformly on  $E$  by holomorphic maps  $X \rightarrow Y$ .*

# Generalizations

The same result holds in any open Riemann surface  $X$  such that for every compact set  $K$  in  $X$  there exists a bounded linear operator

$$T_K : \mathcal{C}^{0,1}(K) \rightarrow \mathcal{C}_b(X)$$

satisfying the following two conditions.

- 1 For every  $g \in \mathcal{C}^{0,1}(K)$  we have  $\bar{\partial} T_K(g) = g$  in the sense of distributions, where we take  $g = 0$  on  $X \setminus K$ .
- 2  $T_K$  is a **holomorphic operator**: if  $g(\cdot, w) \in \mathcal{C}^{0,1}(K)$  is a family depending holomorphically on a parameter  $w \in W \subset \mathbb{C}^n$ , then  $T_K(g(\cdot, w)) \in \mathcal{C}_b(X)$  also depends holomorphically on  $w$ .

On  $\mathbb{C}$ , one can use the **Cauchy-Green operator**

$$T_K(g)(z) = \frac{1}{\pi} \int_K \frac{g(\zeta)}{z - \zeta} du dv, \quad z \in \mathbb{C}, \quad \zeta = u + iv.$$

Recall that for any  $g \in L^p(K)$ ,  $p > 2$ ,  $T_K(g)$  is a bounded continuous function on  $\mathbb{C}$  that is holomorphic on  $\mathbb{C} \setminus K$ , vanishes at infinity, and satisfies the uniform Hölder condition with exponent  $\alpha = 1 - 2/p$ ; furthermore,  $T_K : L^p(K) \rightarrow \mathcal{C}^\alpha(\mathbb{C})$  is a continuous linear operator.

# Outline of proof

We follow **Rosay-Rudin's proof (1989)** for the case of functions, adding techniques from nonlinear approximation theory (the Oka theory). For simplicity, we assume that  $X = \mathbb{C}$ .

Since the set  $E \subset \mathbb{C}$  is Arakelian, we can find a sequence of closed discs  $\Delta_1 \subset \Delta_2 \subset \dots \subset \bigcup_{i=1}^{\infty} \Delta_i = \mathbb{C}$  such that, letting

$$H_i = H_{E \cup \Delta_i} = \text{the union of holes of } E \cup \Delta_i,$$

we have that

$$\Delta_i \cup \overline{H}_i \subset \mathring{\Delta}_{i+1}, \quad i = 1, 2, \dots$$

Set

$$E_0 = E, \quad E_i = E \cup \Delta_i \cup H_i \quad \text{for } i = 1, 2, \dots$$

Note that  $E_i$  is a closed Arakelian set in  $\mathbb{C}$  for each  $i$  and

$$E_i \subset E_{i+1}, \quad \overline{E_i \setminus E} \subset \mathring{\Delta}_{i+1}, \quad \bigcup_{i=0}^{\infty} E_i = \mathbb{C}.$$

# Sets in the induction step

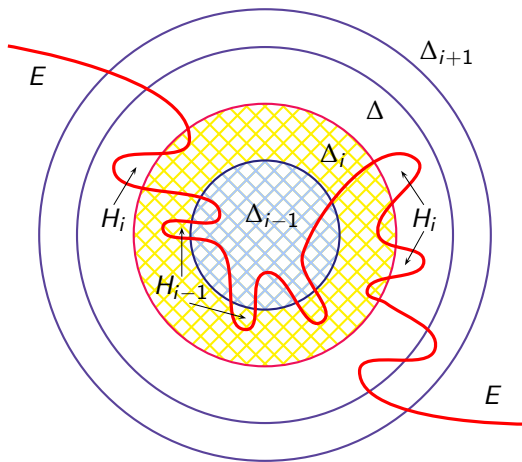


Figure:  $E_i = E \cup \Delta_i \cup H_i$

## Proof - continued

Let  $f_0 : \mathbb{C} \rightarrow Y$  be a continuous map such that  $f_0|_E \in \mathcal{A}(E)$ .

Fix a number  $\epsilon > 0$ . We inductively construct a sequence of continuous maps  $f_i : \mathbb{C} \rightarrow Y$  such that for all  $i \in \mathbb{N}$  we have

$$f_i|_{E_i} \in \mathcal{A}(E_i, Y)$$

and

$$\text{dist}_Y(f_i(z), f_{i-1}(z)) < 2^{-i}\epsilon, \quad z \in E_{i-1}.$$

Since the sets  $E_i$  exhaust  $\mathbb{C}$ , the sequence  $f_i$  clearly converges uniformly on compacts in  $\mathbb{C}$  to a holomorphic map

$$F = \lim_{i \rightarrow \infty} f_i : \mathbb{C} \rightarrow Y$$

satisfying

$$\sup_{z \in E} \text{dist}_Y(f(z), F(z)) < \epsilon.$$

## The induction step $i - 1 \rightarrow i$

Assume that  $f_{i-1} : \mathbb{C} \rightarrow Y$  is continuous and  $f_{i-1}|_{E_{i-1}} \in \mathcal{A}(E_{i-1}, Y)$ .

Recall that  $\Delta_i \cup \overline{H}_i \subset \mathring{\Delta}_{i+1}$ .

Pick a closed disc  $\Delta \subset \mathbb{C}$  such that

$$\Delta_i \cup \overline{H}_i \subset \Delta \subset \mathring{\Delta}_{i+1}.$$

Since  $E_i = E \cup \Delta_i \cup H_i$ , it follows that

$$E_i \setminus \Delta = E_{i-1} \setminus \Delta = E \setminus \Delta.$$

Since  $E_{i-1}$  has no holes,  $E_{i-1} \cap \Delta_{i+1}$  has no holes either.

As  $Y$  is complex homogeneous and hence Oka, Mergelyan's theorem furnishes for any  $c > 0$  a holomorphic map  $g : \mathbb{C} \rightarrow Y$  satisfying

$$\text{dist}_Y(f_{i-1}(z), g(z)) < c, \quad z \in E_{i-1} \cap \Delta_{i+1}.$$

# Unbounded Cartan pairs in Riemann surfaces

To complete the induction step, we glue  $f_{i-1}$  and  $g$  into  $f_i \in \mathcal{A}(E_i, Y)$ . We shall now outline the relevant gluing (fusion) method.

The following is a variation of the standard definition of a **Cartan pair**. The main difference is that the closed sets  $A$  and  $B$  may now be unbounded (non-compact).

## Definition

Let  $X$  be an open Riemann surface. A pair of closed subsets  $(A, B)$  of  $X$  is a *Cartan pair* if it satisfies the following two conditions.

- (a) The set  $K = A \cap B$  is compact.
- (b)  $\overline{A \setminus B} \cap \overline{B \setminus A} = \emptyset$ .

The notion of a Cartan pair in a higher dimensional manifold also requires that the sets  $A \cap B$  and  $A \cup B$  be Stein compacta (and, in some applications, even compact strongly pseudoconvex domains).

## Gluing $f_{i-1}$ and $h$ into $f_i \in \mathcal{A}(E_i, Y)$

Consider the following Cartan pair decomposition  $(A, B)$  of the unbounded closed set  $E_i = A \cup B$ :

$$A = \overline{E_i \setminus \Delta} = E_i \setminus \mathring{\Delta}, \quad B = E_i \cap \Delta_{i+1}.$$

We have that

$$K := A \cap B = E_i \cap (\Delta_{i+1} \setminus \mathring{\Delta}) = E_i \cap (\Delta_{i+1} \setminus \mathring{\Delta}).$$

To conclude the induction step, we glue the pair of maps  $f_{i-1} \in \mathcal{A}(A, Y)$  and  $g \in \mathcal{A}(B, Y)$  over  $K = A \cap B$  to get the next map  $f_i \in \mathcal{A}(A \cup B, Y) = \mathcal{A}(E_i, Y)$  in the sequence.

When  $Y = \mathbb{C}$ , we solve a **Cousin-I problem with uniform bounds** (very classical). The nonlinear case is obtained by gluing pairs of **dominating sprays** of maps. A crucial ingredient in both cases is the existence of a sup-norm bounded solution operators for the  $\bar{\partial}$ -equation.



## Gluing – the linear case

Let  $(A, B)$  be a Cartan pair. We wish to glue  $f \in \mathcal{A}(A, \mathbb{C})$  and  $g \in \mathcal{A}(B, \mathbb{C})$  into  $F \in \mathcal{A}(A \cup B, \mathbb{C})$  which is close to  $f$  on  $A$  and is close to  $g$  on  $B$ .

Pick a smooth function  $\chi : \mathbb{C} \rightarrow [0, 1]$  which equals 0 on a neighbourhood of  $\overline{A \setminus B}$  and equals 1 on a neighbourhood of  $\overline{B \setminus A}$ . Let  $T_K$  be the Cauchy-Green operator. Set  $c = f|_K - g|_K \in \mathcal{A}(K)$  and

$$\begin{aligned}\mathcal{A}c &= c\chi - T_K(c\bar{\partial}\chi) \in \mathcal{A}(A), \\ \mathcal{B}c &= c(\chi - 1) - T_K(c\bar{\partial}\chi) \in \mathcal{A}(B).\end{aligned}$$

Then,

$$\mathcal{A}c - \mathcal{B}c = c \text{ on } K,$$

and we obtain a solution  $F \in \mathcal{A}(A \cup B, \mathbb{C})$  by setting

$$F = f - \mathcal{A}c \text{ on } A, \quad F = g - \mathcal{B}c \text{ on } B.$$

Note that  $\|\mathcal{A}c\|_A \leq \text{const.} \|f - g\|_K$  and  $\|\mathcal{B}c\|_B \leq \text{const.} \|f - g\|_K$ .

# A gluing (fusion) lemma for sprays of maps

We now consider the general case when  $f \in \mathcal{A}(A, Y)$  and  $g \in \mathcal{A}(B, Y)$ .  
The following lemma will be used.

## Lemma

Let  $(A, B)$  be a Cartan pair in a domain  $X \subset \mathbb{C}$ . Set  $K = A \cap B$ .

Given a bounded open convex set  $0 \in W \subset \mathbb{C}^n$  for some  $n \in \mathbb{N}$  and a number  $r \in (0, 1)$ , there is a  $\delta > 0$  satisfying the following property.

For every map  $\gamma: K \times W \rightarrow K \times \mathbb{C}^n$  of the form

$$\gamma(z, w) = (z, \psi(z, w)), \quad z \in K, w \in W,$$

and of class  $\mathcal{A}(K \times W)$ , with  $\text{dist}_{K \times W}(\gamma, \text{Id}) < \delta$ , there exist maps

$$\alpha_\gamma: A \times rW \rightarrow A \times \mathbb{C}^n, \quad \beta_\gamma: B \times rW \rightarrow B \times \mathbb{C}^n,$$

of the same form and of class  $\mathcal{A}(A \times rW)$  and  $\mathcal{A}(B \times rW)$ , respectively, depending smoothly on  $\gamma$ , such that  $\alpha_{\text{Id}} = \text{Id}$ ,  $\beta_{\text{Id}} = \text{Id}$ , and

$$\gamma \circ \alpha_\gamma = \beta_\gamma \quad \text{holds on } K \times rW.$$

# Applying the gluing lemma

Recall that  $Y$  is compact homogeneous. Let  $\phi_t^1, \dots, \phi_t^n$  be flows of holomorphic vector fields on  $Y$  spanning  $TY$ . This gives sprays of maps

$$\tilde{f} \in \mathcal{A}(A \times \mathbb{C}^n, Y), \quad \tilde{g} \in \mathcal{A}(B \times \mathbb{C}^n, Y),$$

by taking

$$\tilde{f}(z, w) = \phi_{w_1}^1 \circ \phi_{w_2}^2 \circ \dots \circ \phi_{w_n}^n(f(z)),$$

and similarly for  $\tilde{g}$ . Note that  $\tilde{f}(\cdot, 0) = f$ ,  $\tilde{g}(\cdot, 0) = g$ , and both maps are submersive with respect to  $w \in \mathbb{C}^n$  at  $w = 0$ . Furthermore, given  $\epsilon > 0$  there is an open set  $0 \in W_0 \Subset \mathbb{C}^n$  such that

$$\sup_{z \in A, w \in W_0} \text{dist}_Y(\tilde{f}(z, w), f(z)) < \epsilon, \quad \sup_{z \in B, w \in W_0} \text{dist}_Y(\tilde{g}(z, w), g(z)) < \epsilon.$$

**Here we use compactness of  $Y$ !**

# Conclusion of the proof

We may assume that  $g$  is uniformly as close as desired to  $f$  on  $K$ . It follows that  $\tilde{g}$  is uniformly as close as desired to  $\tilde{f}$  on  $K \times W_0$ .

Since  $w \mapsto \tilde{f}(\cdot, w)$  is dominating at  $w = 0$ , there are a smaller neighbourhood  $0 \in W \subseteq W_0$  of the origin in the parameter space and a number  $\eta_0 > 0$  such that for every  $g$  satisfying

$$\sup_{z \in K} \text{dist}(f(z), g(z)) < \eta \leq \eta_0$$

there exists a map  $\gamma: K \times W \rightarrow K \times \mathbb{C}^n$  of the form

$$\gamma(z, w) = (z, \psi(z, w)), \quad z \in K, w \in W,$$

and of class  $\mathcal{A}(K \times W)$ , with

$$\text{dist}_{K \times W}(\gamma, \text{Id}) < \delta = \text{const}_f \cdot \eta,$$

such that

$$\tilde{f} = \tilde{g} \circ \gamma \quad \text{on } K \times W.$$

# Conclusion of the proof

Choose a number  $0 < r < 1$ . Assuming as we may that  $\eta$  (and hence  $\delta$ ) are small enough, the splitting lemma gives a decomposition

$$\gamma \circ \alpha = \beta \quad \text{on } K \times rW$$

for some holomorphic maps

$$\alpha : A \times rW \rightarrow A \times W, \quad \beta : B \times rW \rightarrow B \times W,$$

of the same form as  $\gamma$  and of class  $\mathcal{A}(A \times rW)$  and  $\mathcal{A}(B \times rW)$ , respectively, which are close to the identity on the respective sets (depending on the proximity of  $\gamma$  to the identity, which in turn depends on how close is  $g$  to  $f$  on  $K$ ).

Recall that

$$\tilde{f} = \tilde{g} \circ \gamma \quad \text{on } K \times W.$$

Precomposing the equation with  $\alpha$ , it follows that

$$\tilde{f} \circ \alpha = \tilde{g} \circ \beta \quad \text{on } K \times rW.$$

Taking  $w = 0 \in \mathbb{C}^n$  gives a map  $F \in \mathcal{A}(A \cup B, Y)$  satisfying

$$\sup \text{dist}(F(z), f(z)) < \epsilon, \quad \sup \text{dist}(F(z), g(z)) < \epsilon.$$

# Carleman approximation on totally real manifolds

Let  $X$  be a Stein manifold and  $E \subset X$  be a closed subset. Set

$$\widehat{E}_{\mathcal{O}(X)} = \widehat{E} = \bigcup_{j=1}^{\infty} \widehat{E}_j$$

where  $E_j$  is a normal exhaustion of  $E$  by compacts.

The set  $E$  has **bounded exhaustion hulls (BEH)** if for any compact  $K \subset X$  there is a bigger compact  $K' \subset X$  such that

$$\widehat{K \cup E} \subset E \cup \widehat{K'}.$$

For a closed set  $E$  in an open Riemann surface  $X$ , this is equivalent to the classical **Arakelian condition**.

**Manne 1993** If  $X$  is Stein and  $E \subset X$  is a closed  $\mathcal{C}^k$  totally real submanifold that is  $\mathcal{O}(X)$ -convex and has BEH, then  $E$  admits  $\mathcal{C}^k$ -Carleman approximation by entire functions  $X \rightarrow \mathbb{C}$ .

**Magnusson & Wold 2016** If a closed holomorphically convex set  $E$  in a Stein manifold  $X$  admits  $\mathcal{C}^0$  Carleman approximation, then  $E$  has BEH.

# Carleman approximation of maps to Oka manifolds

## Theorem (B. Chenoweth 2018, to appear in Proc. AMS)

*Let  $X$  be a Stein manifold and  $Y$  be an Oka manifold. Assume that  $K \subset X$  is a compact  $\mathcal{O}(X)$ -convex subset and  $M \subset X$  is a closed totally real submanifold of class  $\mathcal{C}^k$  ( $k \in \mathbb{N}$ ) which is  $\mathcal{O}(X)$ -convex, has bounded exhaustion hulls, and  $E = K \cup M$  is  $\mathcal{O}(X)$ -convex.*

*Then, every map  $f \in \mathcal{C}^k(X, Y)$  which is  $\bar{\partial}$ -flat to order  $k$  on  $E$  and is holomorphic on a neighbourhood of  $K$  (or just on  $\mathring{K}$  if  $K$  is a compact strongly pseudoconvex domain) can be approximated in the fine  $\mathcal{C}^k$  topology on  $E$  by holomorphic maps  $F : X \rightarrow Y$ .*

The proof combines:

- Mergelyan approximation of functions on handlebodies,
- existence of Stein neighborhoods of graphs over handlebodies (this gives Mergelyan approximation of manifold-valued maps),
- gluing techniques.

# Approximation theory in holomorphic directed systems

I wish to indicate a new direction in holomorphic approximation theory that I have become interested in recently.

A **holomorphic directed system** of first order on a complex manifold  $X$  is given by a subset  $\mathcal{G} \subset TX$  of its tangent bundle whose fibres  $\mathcal{G}_x \subset T_x X$  ( $x \in X$ ) are complex cones. A real or complex submanifold  $M \subset X$  is an **integral submanifold** of  $\mathcal{G}$  if

$$T_x M \subset \mathcal{G}_x \quad \text{holds for all } x \in M.$$

More generally, one may consider subsets of higher order jet bundles. Approximation theory in such systems is of great interest.

Every holomorphic subbundle  $E \subset TX$  determines a directed system. Of particular interest are **contact subbundles**, i.e., completely nonintegrable holomorphic hyperplane subbundles of  $TX$ . Such can only exist on odd dimensional manifolds  $X^{2n+1}$  and are given as the kernel  $\xi = \ker \alpha$  of a (twisted) holomorphic 1-form satisfying the nondegeneracy condition

$$\alpha \wedge (d\alpha)^n \neq 0.$$



# Approximation in holomorphic contact systems

The standard example on  $\mathbb{C}_{(x,y,z)}^{2n+1}$  is

$$\alpha_{\text{std}} = dz + xdy = dz + \sum_{i=1}^n x_i dy_i.$$

By **Darboux's theorem**, every contact system is locally of this kind. Integral complex submanifolds of a contact manifold  $(X^{2n+1}, \xi)$  are said to be **isotropic**, or **Legendrian** when they are of maximal dimension  $n$ .

**Theorem (Alarcón, F., López, Compositio Math. 2017)**

*Every open Riemann surface is a properly embedded Legendrian curve in  $(\mathbb{C}^3, \alpha_{\text{std}})$ . Holomorphic integral curves in  $(\mathbb{C}^{2n+1}, \alpha_{\text{std}})$  satisfy the Runge approximation theorem, and also the Mergelyan and the Carleman approximation theorems on admissible sets.*

On the other hand, Runge's theorem fails in general for holomorphic Legendrian curves in contact systems on  $\mathbb{C}^3$ . Indeed:

# A hyperbolic contact system on $\mathbb{C}^3$

**F. 2017** There exists an injective holomorphic map  $\Phi : \mathbb{C}^3 \rightarrow \mathbb{C}^3$  (a Fatou-Bieberbach map) such that the holomorphic contact form  $\alpha = \Phi^*(\alpha_{\text{std}})$  on  $\mathbb{C}^3$  is Kobayashi hyperbolic, i.e., it admits no nonconstant Legendrian lines  $\mathbb{C} \rightarrow \mathbb{C}^3$ .

## Problem

- 1 Does the local Mergelyan theorem for isotropic (Legendrian) curves hold in every complex contact manifold?
- 2 How many holomorphic contact structures are there on  $\mathbb{C}^3$ ?

**Eliashberg 1989, 1993** On  $\mathbb{R}^3$  there are countably many noncontactomorphic smooth contact structures.

- 3 Does there exist an **algebraic contact form**  $\alpha$  on  $\mathbb{C}^3$  (with polynomial coefficients) defining a hyperbolic contact structure? Is a generic algebraic 1-form of sufficiently big degree hyperbolic?

This is an analogue of **Kobayashi's conjecture** for projective manifolds, solved in the affirmative by **Demailly** and **Brotbek**.

*THANK YOU*

*FOR YOUR ATTENTION*